

The Need for Structure in Quantum Speedups

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Abstract

Is there a general theorem that tells us when we can hope for exponential speedups from quantum algorithms, and when we cannot? In this paper, we make two advances toward such a theorem, in the black-box model where most quantum algorithms operate.

First, we show that for any problem that is invariant under permuting inputs and outputs and that has sufficiently many outputs (like the collision and element distinctness problems), the quantum query complexity is at least the 7th root of the classical randomized query complexity. (An earlier version of this paper gave the 9th root.) This resolves a conjecture of Watrous from 2002.

Second, inspired by work of O’Donnell et al. (2005) and Dinur et al. (2006), we conjecture that every bounded low-degree polynomial has a “highly influential” variable. Assuming this conjecture, we show that every T -query quantum algorithm can be simulated on *most* inputs by a $T^{O(1)}$ -query classical algorithm, and that one essentially cannot hope to prove $P \neq BQP$ relative to a random oracle.

1 Introduction

Perhaps the central lesson gleaned from fifteen years of quantum algorithms research is this:

Quantum computers can offer superpolynomial speedups over classical computers, but only for certain “structured” problems.

The key question, of course, is what we mean by “structured.” In the context of most existing quantum algorithms, “structured” basically means that we are trying to determine some global property of an extremely long sequence of numbers, *assuming* that the sequence satisfies some global regularity. As a canonical example, consider PERIOD-FINDING, the core of Shor’s algorithms for factoring and computing discrete logarithms [29]. Here we are given black-box access to an exponentially-long sequence of integers $X = (x_1, \dots, x_N)$; that is, we can compute x_i for a given i . We are asked to find the *period* of X —that is, the smallest $k > 0$ such that $x_i = x_{i-k}$ for all $i > k$ —promised that X is indeed periodic, with period $k \ll N$ (and also that the x_i values are approximately distinct within each period). The requirement of periodicity is crucial here:

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it is what lets us use the Quantum Fourier Transform to extract the information we want from a superposition of the form

$$\frac{1}{\sqrt{N}} \sum_{i=1}^N |i\rangle |x_i\rangle.$$

For other known quantum algorithms, X needs to be (for example) a cyclic shift of quadratic residues [16], or constant on the cosets of a hidden subgroup.

By contrast, the canonical example of an “unstructured” problem is the Grover search problem. Here we are given black-box access to an N -bit string $(x_1, \dots, x_N) \in \{0, 1\}^N$, and are asked whether there exists an i such that $x_i = 1$.¹ Grover [20] gave a quantum algorithm to solve this problem using $O(\sqrt{N})$ queries [20], as compared to the $\Omega(N)$ needed classically. However, this quadratic speedup is optimal, as shown by Bennett, Bernstein, Brassard, and Vazirani [10]. For other “unstructured” problems—such as computing the PARITY or MAJORITY of an N -bit string—quantum computers offer no asymptotic speedup at all over classical computers (see Beals et al. [8]).

Unfortunately, this “need for structure” has essentially limited the prospects for superpolynomial quantum speedups to those areas of mathematics that are liable to produce things like periodic sequences or sequences of quadratic residues.² *This is the fundamental reason why the greatest successes of quantum algorithms research have been in cryptography, and specifically in number-theoretic cryptography.* It helps to explain why we do not have a fast quantum algorithm to solve NP-complete problems (for example), or to break arbitrary one-way functions.

Given this history, the following problem takes on considerable importance:

Problem 1 (Informal) *For every “unstructured” problem f , are the quantum query complexity $Q(f)$ and the classical randomized query complexity $R(f)$ polynomially related?*

Despite its apparent vagueness, Problem 1 can be formalized in several natural and convincing ways—and under these formalizations, the problem has remained open for about a decade.

1.1 Formalizing the Problem

Let $S \subseteq [M]^N$ be a collection of inputs, and let $f : S \rightarrow \{0, 1\}$ be a function that we are trying to compute. In this paper, we assume for simplicity that the range of f is $\{0, 1\}$; in other words, that we are trying to solve a decision problem. It will also be convenient to think of f as a function from $[M]^N$ to $\{0, 1, *\}$, where $*$ means ‘undefined’ (that is, that a given input $X \in [M]^N$ is not in f ’s domain S).

We will work in the well-studied *decision-tree model*. In this model, given an input $X = (x_1, \dots, x_N)$, an algorithm can at any time choose an i and receive x_i . We count only the number of queries the algorithm makes to the x_i ’s, ignoring other computational steps. Then the *deterministic query complexity* of f , or $D(f)$, is the number of queries made by an optimal deterministic algorithm on a worst-case input $X \in S$. The (bounded-error) *randomized query complexity* $R(f)$ is the expected number of queries made by an optimal randomized algorithm that, for every $X \in S$, computes $f(X)$ with probability at least $2/3$. The (bounded-error) *quantum query complexity* $Q(f)$ is the same as $R(f)$, except that we allow quantum algorithms. Clearly $Q(f) \leq R(f) \leq D(f) \leq N$

¹A variant asks us to *find* an i such that $x_i = 1$, under the mild promise that such an i exists.

²Here we exclude BQP-complete problems, such as simulating quantum physics (the “original” application of quantum computers), approximating the Jones polynomial [4], and estimating a linear functional of the solution of a well-conditioned linear system [21].

for all f . See Buhrman and de Wolf [15] for detailed definitions as well as a survey of these measures.

If $S = [M]^N$, then we say f is *total*, and if $M = 2$, then we say f is *Boolean*. The case of total f is relatively well-understood. Already in 1998, Beals et al. [8] showed the following:

Theorem 2 (Beals et al.) $D(f) = O(Q(f)^6)$ for all total Boolean functions $f : \{0, 1\}^N \rightarrow \{0, 1\}$.

Furthermore, it is easy to generalize Theorem 2 to show that $D(f) = O(Q(f)^6)$ for all total functions $f : [M]^N \rightarrow \{0, 1\}$, not necessarily Boolean.³ In other words, for total functions, the quantum query complexity is always at least the 6th root of the classical query complexity. The largest known gap between $D(f)$ and $Q(f)$ for a total function is quadratic, and is achieved by the OR function (because of Grover’s algorithm).

On the other hand, as soon as we allow non-total functions, we can get enormous gaps. Aaronson [2] gave a Boolean function $f : S \rightarrow \{0, 1\}$ for which $R(f) = N^{\Omega(1)}$, yet $Q(f) = O(1)$.⁴ Other examples, for which $R(f) = \Omega(\sqrt{N})$ and $Q(f) = O(\log N \log \log N)$, follow easily from Simon’s algorithm [30] and Shor’s algorithm [29]. Intuitively, these functions f achieve such large separations by being highly structured: that is, their domain S includes only inputs that satisfy a stringent promise, such as encoding a periodic function, or (in the case of [2]) encoding two Boolean functions, one of which is correlated with the Fourier transform of the other one.

By contrast with these highly-structured problems, consider the *collision problem*: that of deciding whether a sequence of numbers $(x_1, \dots, x_N) \in [M]^N$ is one-to-one (each number appears once) or two-to-one (each number appears twice). Let $\text{COL}(X) = 0$ if X is one-to-one and $\text{COL}(X) = 1$ if X is two-to-one, promised that one of these is the case. Then $\text{COL}(X)$ is not a total function, since its definition involves a promise on X . Intuitively, however, the collision problem seems much less “structured” than Simon’s and Shor’s problems. One way to formalize this intuition is as follows. Call a partial function $f : [M]^N \rightarrow \{0, 1, *\}$ *permutation-invariant* if

$$f(x_1, \dots, x_N) = f(\tau(x_{\sigma(1)}), \dots, \tau(x_{\sigma(N)}))$$

for all inputs $X \in [M]^N$ and all permutations $\sigma \in S_N$ and $\tau \in S_M$. Then $\text{COL}(X)$ is permutation-invariant: we can permute a one-to-one sequence and relabel its elements however we like, but it is still a one-to-one sequence, and likewise for a two-to-one sequence. Because of this symmetry, attempts to solve the collision problem using (for example) the Quantum Fourier Transform seem unlikely to succeed. And indeed, in 2002 Aaronson [1] proved that $Q(\text{COL}) = \Omega(N^{1/5})$: that is, the quantum query complexity of the collision problem is at most polynomially better than its randomized query complexity of $\Theta(\sqrt{N})$. The quantum lower bound was later improved to $\Omega(N^{1/3})$ by Aaronson and Shi [3], matching an upper bound of Brassard, Høyer, and Tapp [13].

Generalizing boldly from this example, John Watrous (personal communication) conjectured that the randomized and quantum query complexities are polynomially related for *every* permutation-invariant problem:

³Theorem 2 is proved by combining three ingredients: $D(f) = O(C(f) \text{bs}(f))$, $C(f) = O(\text{bs}(f)^2)$, and $\text{bs}(f) = O(Q(f)^2)$ (where $C(f)$ is the *certificate complexity* of f and $\text{bs}(f)$ is the *block sensitivity*). And all three ingredients go through with no essential change if we set $M > 2$, and define suitable M -ary generalizations of $C(f)$ and $\text{bs}(f)$. (We could also convert the non-Boolean function $f : [M]^N \rightarrow \{0, 1\}$ to a Boolean one, but then we would lose a factor of $\log M$.)

⁴Previously, de Beaudrap, Cleve, and Watrous [9] had stated a similar randomized versus quantum separation. However, their separation applied not to the standard quantum black-box model, but to a different model in which the black box permutes the answer register $|y\rangle$ in some unknown way (rather than simply mapping $|y\rangle$ to $|y \oplus f(x)\rangle$).

Conjecture 3 (Watrous 2002) $R(f) \leq Q(f)^{O(1)}$ for every partial function $f : [M]^N \rightarrow \{0, 1, *\}$ that is permutation-invariant.

Let us make two remarks about Conjecture 3. First, the conjecture talks about *randomized* versus quantum query complexity, since in this setting, it is easy to find functions f for which $R(f)$ and $Q(f)$ are both tiny but $D(f)$ is huge. As an example, consider the *Deutsch-Jozsa problem* [17]: given a Boolean input (x_1, \dots, x_N) , decide whether the x_i 's are all equal or whether half of them are 1 and the other half are 0, under the promise that one of these is the case.

Second, if $M = 2$ (that is, f is Boolean), then Conjecture 3 follows relatively easily from known results: indeed, we prove in Appendix 6 that $R(f) = O(Q(f)^2)$ in that case. So the interesting case is when $M \gg 2$, as it is for the collision problem.

Conjecture 3 provides one natural way to formalize the idea that classical and quantum query complexities should be polynomially related for all “unstructured” problems. A different way is provided by the following conjecture, which we were aware of since about 1999:

Conjecture 4 (folklore) Let Q be a quantum algorithm that makes T queries to a Boolean input $X = (x_1, \dots, x_N)$, and let $\varepsilon > 0$. Then there exists a deterministic classical algorithm that makes $\text{poly}(T, 1/\varepsilon, 1/\delta)$ queries to the x_i 's, and that approximates Q 's acceptance probability to within an additive error ε on a $1 - \delta$ fraction of inputs.

But what exactly does Conjecture 4 have to do with “the need for structure in quantum speedups”? With Conjecture 3, the connection to this paper's theme was more-or-less obvious, but with Conjecture 4, some additional explanation is probably needed.

Intuitively, we want to say the following: in order to achieve a superpolynomial speedup in the black-box model, a quantum computer needs not merely a promise problem, but a “severely constrained” promise problem. In other words, only a *minuscule fraction* of the 2^N oracle strings $X = (x_1, \dots, x_N)$ ought to satisfy the promise—precisely like what happens in Simon's and Shor's problems, where the promise asserts that X encodes a periodic function. If the promise is too “mild”—if, say, it holds for all X in some set $S \subseteq \{0, 1\}^N$ with $|S| = \Omega(2^N)$ —then we should be back in the situation studied by Beals et al. [8], where the oracle X lacked enough “structure” for a Shor-like algorithm to exploit, and as a result, the best one could hope for was a *polynomial* quantum speedup like that of Grover's algorithm.

Yet, if we interpret the above intuition too naïvely, then it is easy to find counterexamples. To illustrate, let S_1 consist of all strings $X \in \{0, 1\}^N$ that encode valid inputs to Simon's problem, let S_0 consist of all $Y \in \{0, 1\}^N$ that have Hamming distance at least $N/10$ from every $X \in S_1$, and let $S = S_0 \cup S_1$. Then define a Boolean function $f_{\text{Simon}} : S \rightarrow \{0, 1\}$ by $f_{\text{Simon}}(X) = 1$ for all $X \in S_1$, and $f_{\text{Simon}}(X) = 0$ for all $X \in S_0$. As observed by Buhrman et al. [14] (see also Ambainis and de Wolf [6] and Hemaspaandra, Hemaspaandra, and Zimand [22]), this “property-testing version of Simon's problem” achieves an exponential separation between randomized and quantum query complexities: $R(f_{\text{Simon}}) = \Omega(\sqrt{N/\log N})$ while $Q(f_{\text{Simon}}) = O(\log N)$. But the promise is certainly “mild”: indeed $|S| \geq 2^N - 2^{cN}$ for some constant $c < 1$.

On the other hand, examining this counterexample more closely suggests a way to salvage our original intuition. For notice that there exists a fast, deterministic classical algorithm that correctly evaluates $f_{\text{Simon}}(X)$ on *almost all inputs* $X \in S$: namely, the algorithm that always outputs 0! This algorithm errs only on the minuscule fraction of inputs $X \in S$ that happen to belong to S_1 . Thus, we might conjecture that this points to a general phenomenon: namely, whenever there exists a fast quantum algorithm to compute a Boolean function $f : S \rightarrow \{0, 1\}$ with $|S| = \Omega(2^N)$, there

also exists a fast classical algorithm to compute $f(X)$ on *most* inputs $X \in S$. In Appendix 8, we will prove that Conjecture 4 is equivalent to this conjecture.

Indeed, Conjecture 4 readily implies a far-reaching generalization of the result of Beals et al. [8] stating that $D(f) = O(Q(f)^6)$ for all total Boolean functions f . In particular, define the ε -approximate query complexity of a Boolean function $f : \{0, 1\}^N \rightarrow \{0, 1\}$, or $D_\varepsilon(f)$, to be the minimum number of queries made by a deterministic algorithm that evaluates f correctly on at least a $1 - \varepsilon$ fraction of inputs X . Likewise, let $Q_\varepsilon(f)$ be the minimum number of queries made by a quantum algorithm that evaluates f correctly on at least a $1 - \varepsilon$ fraction of inputs. Then Conjecture 4 implies that $D_\varepsilon(f)$ and $Q_\delta(f)$ are polynomially related for all Boolean functions f and all constants $\varepsilon > \delta > 0$ independent of N .⁵ This would provide a quantum counterpart to a beautiful 2002 result of Smyth [31], who solved an old open problem of Steven Rudich by showing that $D_\varepsilon(f) = O(C_{\varepsilon^3/30}(f)^2/\varepsilon^3)$ for all $\varepsilon > 0$ (where $C_\delta(f)$ denotes the “ δ -approximate certificate complexity” of f).

More dramatically, if Conjecture 4 holds, then *we basically cannot hope to prove $P \neq BQP$ relative to a random oracle*. This would answer a question raised by Fortnow and Rogers [19] in 1998, and would contrast sharply with the situation for *non*-random oracles: we have had oracles relative to which $P \neq BQP$, and indeed $BQP \not\subseteq MA$, since the work of Bernstein and Vazirani [11] in the early 1990s. More precisely, under some suitable complexity assumption (such as $P = P^{\#P}$), we would get $BQP^A \subset AvgP^A$ with probability 1 for a random oracle A . Here $AvgP$ is the class of languages for which there exists a polynomial-time algorithm that solves a $1 - o(1)$ fraction of instances of size n . In other words, separating BQP from $AvgP$ relative to a random oracle would be as hard as separating complexity classes in the unrelativized world. This would provide a quantum counterpart to a theorem of Impagliazzo and Rudich (credited in [23]), who used the powerful results of Kahn, Saks, and Smyth [23] to show that if $P = NP$, then $NP^A \cap coNP^A \subset ioAvgP^A$ with probability 1 for a random oracle A .⁶

1.2 Our Results

Our main contribution in this paper is essentially to prove Watrous’s conjecture (Conjecture 3), that randomized and quantum query complexities are polynomially related for every symmetric problem.

Theorem 5 $R(f) = O(Q(f)^7 \text{polylog } Q(f))$ for every partial function $f : [M]^N \rightarrow \{0, 1, *\}$ that is permutation-invariant.

We conjecture that $R(f)$ and $Q(f)$ are polynomially related even for functions f satisfying *one* of the two symmetries: namely, $f(x_1, \dots, x_N) = f(x_{\sigma(1)}, \dots, x_{\sigma(N)})$ for all $\sigma \in S_N$. We also conjecture that the exponent of 7 can be improved to 2: in other words, that Grover’s algorithm once again provides the optimal separation between the quantum and classical models.

While the proof of Theorem 5 is somewhat involved, it can be entirely understood by those unfamiliar with quantum computing: the difficulties lie in getting the problem into a form where existing quantum lower bound technology can be applied to it. Let us stress that it was not at all obvious *a priori* that existing quantum lower bounds would suffice here; that they did come as a surprise to us.

⁵More generally, as we will show in Corollary 23, the relation we obtain is $D_{\varepsilon+\delta}(f) \leq (Q_\varepsilon(f)/\delta)^{O(1)}$ for all $\varepsilon, \delta > 0$.

⁶Here $ioAvgP$ means “average-case P for infinitely many input lengths n .” The reason Impagliazzo and Rudich only get a simulation in $ioAvgP$, rather than $AvgP$, has to do with the fact that Smyth’s result [31] only relates $D_\varepsilon(f)$ to $C_{\varepsilon^3/30}(f)$, rather than $D_{\varepsilon+\delta}(f)$ to $C_\varepsilon(f)$ for all $\delta > 0$.

We first define and analyze a simple randomized algorithm, which tries to compute $f(X)$ for a given $X = (x_1, \dots, x_N)$ by estimating the multiplicity of each element x_i . Next, by considering where this randomized algorithm breaks down, we show that one can identify a “hard core” within f : roughly speaking, two input types \mathcal{A}^* and \mathcal{B}^* , such that the difficulty of distinguishing \mathcal{A}^* from \mathcal{B}^* accounts for a polynomial fraction of the entire difficulty of computing f . The rest of the proof consists of lower-bounding the quantum query complexity of distinguishing \mathcal{A}^* from \mathcal{B}^* . We do so using a hybrid argument: we develop a “chopping procedure” that gradually deforms \mathcal{A}^* to make it more similar to \mathcal{B}^* , creating a sequence of intermediate input types $\mathcal{A}_0 = \mathcal{A}^*, \mathcal{A}_1, \mathcal{A}_2, \dots, \mathcal{A}_{2L} = \mathcal{B}^*$. We then show that, for every $\ell \in [L]$, distinguishing \mathcal{A}_ℓ from $\mathcal{A}_{\ell-1}$ requires many quantum queries, *either* by a reduction from Zhandry’s recent $\Omega(N^{1/3})$ quantum lower bound for the SETEQUALITY problem [33] (which is a nontrivial generalization of Aaronson and Shi’s collision lower bound [3]), or *else* by an application of Ambainis’s general quantum adversary theorem [5].

Note that, prior to Zhandry’s $\Omega(N^{1/3})$ quantum lower bound for SETEQUALITY, Midrijanis [24] had proved a lower bound of $\Omega((N/\log N)^{1/5})$; the latter was the first quantum lower bound for SETEQUALITY, and the only one for nearly a decade. An earlier version of this paper used Midrijanis’s lower bound to show that $R(f) = O(Q(f)^9 \text{polylog } Q(f))$ for all permutation-symmetric f . The improvement to $R(f) = O(Q(f)^7 \text{polylog } Q(f))$ in the current version comes entirely from Zhandry’s improvement of the SETEQUALITY lower bound to the optimal $\Omega(N^{1/3})$.

Doing the hybrid argument in the “obvious” way produces a bound of the form $R(f) \leq Q(f)^{O(1)} \text{polylog } N$, which fails to imply a polynomial relationship between $R(f)$ and $Q(f)$ when $Q(f) \leq (\log N)^{o(1)}$. However, a more sophisticated hybrid argument eliminates the $\text{polylog } N$ factor.

Our second contribution is more exploratory, something we put forward in the hope of inspiring followup work. We study Conjecture 4, which states that every T -query quantum algorithm can be simulated on *most* inputs using $T^{O(1)}$ classical queries. We relate this conjecture to a fundamental open problem in Fourier analysis and approximation theory. Given a real polynomial $p : \mathbb{R}^N \rightarrow \mathbb{R}$, let

$$\text{Inf}_i[p] := \mathbb{E}_{X \in \{0,1\}^N} [(p(X) - p(X^i))^2]$$

be the *influence* of the i^{th} variable, where X^i means X with the i^{th} bit flipped. Then we conjecture that *every bounded low-degree polynomial has a “highly influential” variable*. More precisely:

Conjecture 6 (Bounded Polynomials Have Influential Variables) *Let $p : \mathbb{R}^N \rightarrow \mathbb{R}$ be a polynomial of degree d . Suppose that $0 \leq p(X) \leq 1$ for all $X \in \{0,1\}^N$, and*

$$\mathbb{E}_{X \in \{0,1\}^N} [(p(X) - \mathbb{E}[p])^2] \geq \varepsilon.$$

Then there exists an i such that $\text{Inf}_i[p] \geq (\varepsilon/d)^{O(1)}$.

We show the following:

Theorem 7 *Assume Conjecture 6. Then*

- (i) *Conjecture 4 holds.*
- (ii) $D_{\varepsilon+\delta}(f) \leq (Q_\varepsilon(f)/\delta)^{O(1)}$ *for all Boolean functions $f : \{0,1\}^N \rightarrow \{0,1\}$ and all $\varepsilon, \delta > 0$.*
- (iii) *If $\mathbf{P} = \mathbf{P}^{\#P}$, then $\text{BQP}^A \subset \text{AvgP}^A$ with probability 1 for a random oracle A .*

The main evidence for Conjecture 6—besides the fact that all the Fourier analysis experts we asked were confident of it!—is that extremely similar statements have recently been proved. Firstly, O’Donnell, Saks, Schramm, and Servedio [26] proved an analogue of Conjecture 6 for *decision trees*, which are a special case of bounded real polynomials:

Theorem 8 (O’Donnell et al. 2005) *Let $f : \{0, 1\}^N \rightarrow \{0, 1\}$ be a Boolean function, and suppose $\Pr[f = 1] \Pr[f = 0] \geq \varepsilon$. Then there exists an i such that $\text{Inf}_i[f] \geq 4\varepsilon/D(f)$, where $D(f)$ is the decision tree complexity of f .*

Unfortunately, Theorem 8 does not directly imply anything about our problem, even though Beals et al. [8] showed that $D(f)$ and $Q(f)$ are polynomially related for all total Boolean functions f . The reason is that the acceptance probability of a quantum algorithm need not approximate a total Boolean function.

The second piece of evidence for Conjecture 6 comes from a powerful result of Dinur, Friedgut, Kindler, and O’Donnell [18], which implies our conjecture, *except* with $\text{Inf}_i[p] \geq \varepsilon^3/2^{O(d)}$ instead of $\text{Inf}_i[p] \geq (\varepsilon/d)^{O(1)}$. Let us state the special case of their result that is relevant for us:

Theorem 9 (Dinur et al. 2006) *Let $\varepsilon > 0$, and let $p : \mathbb{R}^N \rightarrow \mathbb{R}$ be a degree- d polynomial such that $0 \leq p(X) \leq 1$ for all $X \in \{0, 1\}^N$. Then there exists a $2^{O(d)}/\varepsilon^2$ -junta $\tilde{p} : \mathbb{R}^N \rightarrow \mathbb{R}$ (that is, a polynomial depending on at most $2^{O(d)}/\varepsilon^2$ variables) such that*

$$\mathbb{E}_{X \in \{0, 1\}^N} \left[(\tilde{p}(X) - p(X))^2 \right] \leq \varepsilon.$$

Even though Theorem 9 has an exponential rather than polynomial dependence on $1/d$, we observe that it *already* has a nontrivial consequence for quantum computation. Namely, it implies that any T -query quantum algorithm can be simulated on *most* inputs using $2^{O(T)}$ classical queries.⁷ Recall that the gaps between classical and quantum query complexities can be superexponential (and even $N^{\Omega(1)}$ versus $O(1)$, as in the example of Aaronson [2]), so even an exponential upper bound is far from obvious.

1.3 Subsequent Work

Since the first version of this paper was circulated, there have been at least three interesting developments (not counting the $\Omega(N^{1/3})$ quantum lower bound of Zhandry [33] for SETEQUALITY, which we incorporate here).

First, Yuen [32] adapted the hybrid argument that we used to prove Theorem 5, in order to show that distinguishing a random function $X : [N] \rightarrow [N]$ from a random permutation requires $\Omega(N^{1/5}/\log N)$ quantum queries. (Subsequently, however, Zhandry [33] proved a tight lower bound of $\Omega(N^{1/3})$ for the random function versus random permutation problem, using completely different ideas.)

Second, Montanaro [25] used a hypercontractive inequality to prove Conjecture 6, in the special case where p is a multilinear polynomial all of whose coefficients (when written in the Fourier basis) have the same absolute value. Currently, it remains open to generalize Montanaro’s technique to arbitrary multilinear polynomials, let alone arbitrary polynomials.

Third, Bačkurs and Bavarian [7] solved a technical problem that arose from an earlier version of this paper. In the earlier version, we stated Conjecture 6 in terms of L_1 -influences rather

⁷Indeed, in this case the classical queries are nonadaptive.

than L_2 -influences, and we also used the L_1 -norm in proving the consequences of Conjecture 6 for quantum query complexity. Subsequently, Bačkurs (personal communication) found an error in our proof. Fortunately, however, we noticed that (a) our proof could be fixed by simply switching from L_1 -norm to L_2 -norm throughout, and (b) the L_2 version of Conjecture 6 was, in any case, provably equivalent to our original L_1 version. So we switched to the L_2 -norm. At the same time, though, we remained curious about our original L_1 -based argument *could have* worked. The question boiled down to the following: given a degree- d real polynomial $p : \mathbb{R}^N \rightarrow \mathbb{R}$, let

$$\text{Inf}_i^1 [p] := \mathbb{E}_{X \in \{0,1\}^N} [|p(X) - p(X^i)|].$$

Then do we have $\sum_{i=1}^N \text{Inf}_i^1 [p] \leq d^{O(1)}$, whenever $p(X) \in [0, 1]$ for all $X \in \{0, 1\}^N$? Bačkurs and Bavarian [7] show that the answer is yes: indeed, the sum of the L_1 -influences is upper-bounded by $O(d^3 \log d)$. Using their result, one *can* salvage our original L_1 -based argument.

For simplicity, though, in this version of the paper we stick with L_2 -influences. There, the analogue of Bačkurs and Bavarian’s result is much easier, and states that $\sum_{i=1}^N \text{Inf}_i [p] \leq d$ (we provide the folklore proof in Lemma 20). For completeness, in Appendix 7 we prove the equivalence of the L_1 and L_2 versions of Conjecture 6.

2 Quantum Lower Bound for All Symmetric Problems

In this section we prove Theorem 5: that $R(f) = O(Q(f)^7 \text{polylog } Q(f))$ for all permutation-symmetric f .

We start with a simple observation that is essential to everything that follows. Since f is symmetric, we can group the inputs $X = (x_1, \dots, x_N)$ into equivalence classes that we call *types*.

Definition 10 *Given an input $X = (x_1, \dots, x_N) \in [M]^N$, the type of X is a list of positive integers $\mathcal{A} = (a_1, \dots, a_u)$, which records the multiplicities of the integers occurring in X from most to least frequent. So in particular, $a_1 \geq \dots \geq a_u$ and $a_1 + \dots + a_u = N$. For convenience, we adopt the convention that $a_i = 0$ for all $i > u$.*

In other words, a type is just a partition (or *Young diagram*) that records the multiplicities of the input elements. For example, a one-to-one input has type $a_1 = \dots = a_N = 1$, while a two-to-one input has type $a_1 = \dots = a_{N/2} = 2$. We write $X \in \mathcal{A}$ if X is of type \mathcal{A} . Clearly $f(X)$ depends only on the type of X . Furthermore, given a quantum query algorithm Q , we can assume without loss of generality that $\Pr [Q \text{ accepts } X]$ depends only on the type of X —since we can “symmetrize” Q (that is, randomly permute X ’s inputs and outputs) prior to running Q .

2.1 Randomized Upper Bound

Let $X = (x_1, \dots, x_N)$ be an input. For each $j \in [M]$, let κ_j be the number of i ’s such that $x_i = j$. Then the first step is to give a classical randomized algorithm that estimates the κ_j ’s. This algorithm, \mathcal{S}_T , is an extremely straightforward sampling procedure. (Indeed, there is essentially nothing else that a randomized algorithm can do here.) \mathcal{S}_T will make $O(T^{1+c} \log T)$ queries, where T is a parameter and $c \in (0, 1]$ is a constant that we will choose later to optimize the final bound.

Set $U := 21T^{1+c} \ln T$

Choose U indices $i_1, \dots, i_U \in [N]$ uniformly at random with replacement

Query x_{i_1}, \dots, x_{i_U}

For each $j \in [M]$:

Let z_j be the number of occurrences of j in $(x_{i_1}, \dots, x_{i_U})$

Output $\tilde{\kappa}_j := \frac{z_j}{U} \cdot N$ as the estimate for κ_j

We now analyze how well \mathcal{S}_T works.

Lemma 11 *With probability $1 - O(1/T)$, we have $|\tilde{\kappa}_j - \kappa_j| \leq \frac{N}{T} + \frac{\kappa_j}{T^c}$ for all $j \in [M]$.*

Proof. For each $j \in [M]$, we consider four cases. First suppose $\kappa_j \geq N/T^{1-c}$. Notice that z_j is a sum of U independent Boolean variables, and that $\mathbb{E}[z_j] = \frac{U}{N} \mathbb{E}[\tilde{\kappa}_j] = \frac{U}{N} \kappa_j$. Thus

$$\begin{aligned} \Pr \left[|\tilde{\kappa}_j - \kappa_j| > \frac{\kappa_j}{T^c} \right] &= \Pr \left[\left| z_j - \frac{U}{N} \kappa_j \right| > \frac{U \kappa_j}{NT^c} \right] \\ &< 2 \exp \left(-\frac{U \kappa_j / N}{3T^{2c}} \right) \\ &< 2 \exp \left(-\frac{U}{3T^{1+c}} \right) \\ &= 2T^{-7}, \end{aligned}$$

where the second line follows from a Chernoff bound and the third from $\kappa_j \geq N/T^{1-c}$.

Second, suppose $N/T \leq \kappa_j < N/T^{1-c}$. Then

$$\begin{aligned} \Pr \left[|\tilde{\kappa}_j - \kappa_j| > \frac{N}{T} \right] &= \Pr \left[\left| z_j - \frac{U}{N} \kappa_j \right| > \frac{U}{T} \right] \\ &< 2 \exp \left(-\frac{U \kappa_j / N}{3} \left(\frac{N}{T \kappa_j} \right)^2 \right) \\ &< 2 \exp \left(-\frac{U}{3T^{1+c}} \right) \\ &= 2T^{-7} \end{aligned}$$

where the second line follows from a Chernoff bound (which is valid because $\frac{N}{T \kappa_j} \leq 1$) and the third from $\kappa_j < N/T^{1-c}$.

Third, suppose $N/T^6 \leq \kappa_j < N/T$. Then

$$\begin{aligned} \Pr \left[|\tilde{\kappa}_j - \kappa_j| > \frac{N}{T} \right] &= \Pr \left[\left| z_j - \frac{U}{N} \kappa_j \right| > \frac{U}{T} \right] \\ &< \left(\frac{e^{N/(T \kappa_j)}}{(1 + N/(T \kappa_j))^{1+N/(T \kappa_j)}} \right)^{U \kappa_j / N} \\ &\leq \exp \left(-\frac{N}{T \kappa_j} \cdot \frac{U \kappa_j}{N} \right) \\ &= \exp \left(-\frac{U}{T} \right) \\ &= O \left(\frac{1}{T^7} \right), \end{aligned}$$

where the second line follows from a Chernoff bound, the third line follows from $\frac{N}{T\kappa_j} > 1$, and the last follows from $U = 21T^{1+c} \ln T$.

Fourth, suppose $\kappa_j < N/T^6$. Then

$$\begin{aligned} \Pr \left[|\tilde{\kappa}_j - \kappa_j| > \frac{N}{T} \right] &= \Pr \left[\left| z_j - \frac{U}{N} \kappa_j \right| > \frac{U}{T} \right] \\ &\leq \Pr [z_j \geq 2] \\ &\leq \binom{U}{2} \left(\frac{\kappa_j}{N} \right)^2 \\ &\leq \frac{U^2}{T^6} \left(\frac{\kappa_j}{N} \right) \\ &\leq \frac{\kappa_j}{TN} \end{aligned}$$

for all sufficiently large T , where the second line follows from $\kappa_j < N/T^6$, the third from the union bound, the fourth from $\kappa_j < N/T^6$ (again), and the fifth from $U \leq 21T^2 \ln T$.

Notice that there are at most T^6 values of j such that $\kappa_j \geq N/T^6$. Hence, putting all four cases together,

$$\begin{aligned} \Pr \left[\exists j : |\tilde{\kappa}_j - \kappa_j| > \frac{N}{T} + \frac{\kappa_j}{T^c} \right] &\leq T^6 \cdot O \left(\frac{1}{T^7} \right) + \sum_{j: \kappa_j < N/T^6} \frac{\kappa_j}{TN} \\ &= O \left(\frac{1}{T} \right). \end{aligned}$$

■

Now call \mathcal{A} a 1-type if $f(X) = 1$ for all $X \in \mathcal{A}$, or a 0-type if $f(X) = 0$ for all $X \in \mathcal{A}$. Consider the following randomized algorithm \mathcal{R}_T to compute $f(X)$:

Run \mathcal{S}_T to find an estimate $\tilde{\kappa}_i$ for each κ_i
Sort the $\tilde{\kappa}_i$'s in descending order, so that $\tilde{\kappa}_1 \geq \dots \geq \tilde{\kappa}_M$
If there exists a 1-type $\mathcal{A} = (a_1, a_2, \dots)$ such that $|\tilde{\kappa}_i - a_i| \leq \frac{N}{T} + \frac{a_i}{T^c}$
for all i , then output $f(X) = 1$
Otherwise output $f(X) = 0$

Clearly \mathcal{R}_T makes $O(T^{1+c} \log T)$ queries, just as \mathcal{S}_T does. We now give a sufficient condition for \mathcal{R}_T to succeed.

Lemma 12 *Suppose that for all 1-types $\mathcal{A} = (a_1, a_2, \dots)$ and 0-types $\mathcal{B} = (b_1, b_2, \dots)$, there exists an i such that $|a_i - b_i| > \frac{2N}{T} + \frac{a_i + b_i}{T^c}$. Then \mathcal{R}_T computes f with bounded probability of error, and hence $R(f) = O(T^{1+c} \log T)$.*

Proof. First suppose $X \in \mathcal{A}$ where $\mathcal{A} = (a_1, a_2, \dots)$ is a 1-type. Then by Lemma 11, with probability $1 - O(1/T)$ we have $|\tilde{\kappa}_i - a_i| \leq \frac{N}{T} + \frac{a_i}{T^c}$ for all i . (It is easy to see that sorting the $\tilde{\kappa}_i$'s can only decrease the maximum difference.) Provided this occurs, \mathcal{R}_T finds some 1-type close to $(\tilde{\kappa}_1, \tilde{\kappa}_2, \dots)$ (possibly \mathcal{A} itself) and outputs $f(X) = 1$.

Second, suppose $X \in \mathcal{B}$ where $\mathcal{B} = (b_1, b_2, \dots)$ is a 0-type. Then with probability $1 - O(1/T)$ we have $|\tilde{\kappa}_i - b_i| \leq \frac{N}{T} + \frac{b_i}{T^c}$ for all i . Provided this occurs, by the triangle inequality, for every 1-type $\mathcal{A} = (a_1, a_2, \dots)$ there exists an i such that

$$|\tilde{\kappa}_i - a_i| \geq |a_i - b_i| - |\tilde{\kappa}_i - b_i| > \frac{N}{T} + \frac{a_i}{T^c}.$$

Hence \mathcal{R}_T does *not* find a 1-type close to $(\tilde{\kappa}_1, \tilde{\kappa}_2, \dots)$, and it outputs $f(X) = 0$. ■

In particular, suppose we keep decreasing T until there exists a 1-type $\mathcal{A}^* = (a_1, a_2, \dots)$ and a 0-type $\mathcal{B}^* = (b_1, b_2, \dots)$ such that

$$|a_i - b_i| \leq \frac{2N}{T} + \frac{a_i + b_i}{T^c} \quad (1)$$

for all i , stopping as soon as that happens. Then Lemma 12 implies that we will still have $R(f) = O(T^{1+c} \log T)$. For the rest of the proof, we will fix that “almost as small as possible” value of T for which (1) holds, as well as the 1-type \mathcal{A}^* and the 0-type \mathcal{B}^* that \mathcal{R}_T “just barely distinguishes” from one another.

2.2 The Chopping Procedure

Given two sets of inputs A and B with $A \cap B = \emptyset$, let $Q(A, B)$ be the minimum number of queries made by any quantum algorithm that accepts every $X \in A$ with probability at least $2/3$, and accepts every $Y \in B$ with probability at most $1/3$. Also, let $Q_\varepsilon(A, B)$ be the minimum number of queries made by any quantum algorithm that accepts every $X \in A$ with at least some probability p , and that accepts every $Y \in B$ with probability at most $p - \varepsilon$. Then we have the following basic relation:

Proposition 13 $Q(A, B) = O(\frac{1}{\varepsilon} Q_\varepsilon(A, B))$ for all A, B and all $\varepsilon > 0$.

Proof. This follows from standard amplitude estimation techniques (see Brassard et al. [12] for example). ■

The rest of the proof consists of lower-bounding $Q(\mathcal{A}^*, \mathcal{B}^*)$, the quantum query complexity of distinguishing inputs of type \mathcal{A}^* from inputs of type \mathcal{B}^* . We do this via a hybrid argument. Let $L := \lceil \log_2 N \rceil + 1$. At a high level, we will construct a sequence of types $\mathcal{A}_0, \dots, \mathcal{A}_{2L}$ such that

- (i) $\mathcal{A}_0 = \mathcal{A}^*$,
- (ii) $\mathcal{A}_{2L} = \mathcal{B}^*$, and
- (iii) $Q(\mathcal{A}_\ell, \mathcal{A}_{\ell-1})$ is large for every $\ell \in [2L]$.

Provided we can do this, it is not hard to see that we get the desired lower bound on $Q(\mathcal{A}^*, \mathcal{B}^*)$. Suppose a quantum algorithm distinguishes $\mathcal{A}_0 = \mathcal{A}^*$ from $\mathcal{A}_{2L} = \mathcal{B}^*$ with constant bias. Then by the triangle inequality, it must also distinguish *some* \mathcal{A}_ℓ from $\mathcal{A}_{\ell+1}$ with reasonably large bias (say $\Omega(1/\log N)$). By Proposition 13, any quantum algorithm that succeeds with bias ε can be amplified, with $O(1/\varepsilon)$ overhead, to an algorithm that succeeds with constant bias.

Incidentally, the need, in this hybrid argument, to amplify the distinguishing bias $\varepsilon = \varepsilon_\ell$ from $\Omega(1/\log N)$ to $\Omega(1)$ is exactly what could produce an undesired $1/\log N$ factor in our final lower bound on $Q(f)$, if we were not careful. (We mentioned this issue in Section 1.2.) The way we will solve this problem, roughly speaking, is to design the \mathcal{A}_ℓ 's in such a way that our lower bounds on $Q(\mathcal{A}_\ell, \mathcal{A}_{\ell-1})$ increase quickly as functions of ℓ . That way, we can take the biases ε_ℓ to decrease quadratically with ℓ (thus summing to a constant), yet still have $Q(\mathcal{A}_\ell, \mathcal{A}_{\ell-1})$ increasing quickly enough that

$$Q_{\varepsilon_\ell}(\mathcal{A}_\ell, \mathcal{A}_{\ell-1}) = \Omega(\varepsilon_\ell Q(\mathcal{A}_\ell, \mathcal{A}_{\ell-1}))$$

remain “uniformly large,” with $1/\log T$ factors but no $1/\log N$ factor.

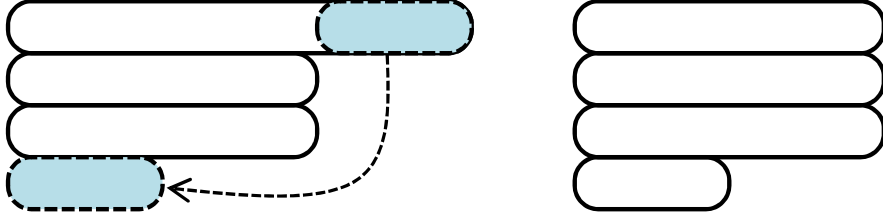


Figure 1: Chopping a row of \mathcal{A}_ℓ 's Young diagram to make it more similar to \mathcal{B}_ℓ .

We now describe the procedure for creating the intermediate types \mathcal{A}_ℓ . Intuitively, we want to form \mathcal{A}_ℓ from $\mathcal{A}_{\ell-1}$ by making its Young diagram more similar to that of \mathcal{B}^* , by decreasing the rows of $\mathcal{A}_{\ell-1}$ which are larger than the corresponding rows of \mathcal{B}^* and increasing the rows of $\mathcal{A}_{\ell-1}$ which are smaller than the corresponding rows of \mathcal{B}^* .

More precisely, we construct the intermediate types $\mathcal{A}_1, \mathcal{A}_2, \dots$ via the following procedure. In this procedure, (a_1, a_2, \dots) is an input type that is initialized to \mathcal{A}^* , and $\mathcal{B}^* = (b_1, b_2, \dots)$.

```

let  $P$  be the first power of 2 greater than or equal to  $N$ 
for  $\ell := 1$  to  $L$ 
  let  $S_A$  be the set of  $i$  such that  $a_i - b_i \geq P/2^\ell$ 
  let  $S_B$  be the set of  $i$  such that  $b_i - a_i \geq P/2^\ell$ 
  let  $m := \min(|S_A|, |S_B|)$ 
  choose  $m$  elements  $i$  from  $S_A$ , set  $a_i := a_i - P/2^\ell$  and remove them from  $S_A$ 
  choose  $m$  elements  $i$  from  $S_B$ , set  $a_i := a_i + P/2^\ell$  and remove them from  $S_B$ 
  let  $\mathcal{A}_{2\ell-1} := \text{type}(a_1, a_2, \dots)$ 
  if  $|S_A| > 0$ 
    let  $a_i := a_i - P/2^\ell$  for all  $i \in S_A$ 
    choose  $|S_A|$  elements  $i$  such that  $a_i < b_i$  and set  $a_i := a_i + P/2^\ell$ 
  if  $|S_B| > 0$ 
    let  $a_i := a_i + P/2^\ell$  for all  $i \in S_B$ 
    choose  $|S_B|$  elements  $i$  such that  $a_i > b_i$  and set  $a_i := a_i - P/2^\ell$ 
  let  $\mathcal{A}_{2\ell} := \text{type}(a_1, a_2, \dots)$ 
next  $\ell$ 

```

The procedure is illustrated pictorially in Figure 1.

We start with some simple observations. First, by construction, this procedure halts after $2L = O(\log N)$ iterations. Second, after the ℓ^{th} iteration, we have $|a_i - b_i| < \frac{P}{2^\ell}$ for all i . This follows by induction. Let a'_i be the value of a_i before the ℓ^{th} iteration. Because of the inductive assumption, we must have $|a'_i - b_i| < \frac{P}{2^{\ell-1}}$ —for if $|a'_i - b_i| \geq \frac{P}{2^\ell}$, then a_i is changed by $\frac{P}{2^\ell}$ during the ℓ^{th} iteration, to decrease the difference $|a_i - b_i|$. After this change,

$$|a_i - b_i| = |a'_i - b_i| - \frac{P}{2^\ell} < \frac{P}{2^{\ell-1}} - \frac{P}{2^\ell} = \frac{P}{2^\ell}.$$

Besides the $|a'_i - b_i| \geq \frac{P}{2^\ell}$ case, there is one other case where $|a_i - b_i|$ could change. In the transition from $\mathcal{A}_{2\ell-1}$ to $\mathcal{A}_{2\ell}$, if $|S_A| > 0$ or $|S_B| > 0$, then we change a_i for $|S_A|$ or $|S_B|$ elements i that do not belong to S_A or S_B . For those elements, we have $|a_i - b_i| < \frac{P}{2^\ell}$ and we change a_i in the direction

of b_i (we increase it by $\frac{P}{2^\ell}$ if $a_i < b_i$ and decrease it by the same amount if $a_i > b_i$). Therefore, after the change, the sign of the difference $a_i - b_i$ flips and $|a_i - b_i| < \frac{P}{2^\ell}$.

Now let us define

$$\|\mathcal{A} - \mathcal{B}\| := \frac{1}{2} \sum_{i=1}^N |a_i - b_i|.$$

Notice that $\|\mathcal{A}_\ell - \mathcal{A}_{\ell-1}\| = rP/2^{\ell'}$, where r is the number of rows that get increased (or decreased) in the ℓ^{th} iteration and $\ell' = \lceil \frac{\ell}{2} \rceil$. We now prove an upper bound on $\|\mathcal{A}_\ell - \mathcal{A}_{\ell-1}\|$ when ℓ is small, which will be useful later.

Lemma 14 *If $\ell \leq (\log_2 T) - 2$, then*

$$\|\mathcal{A}_{2\ell-2} - \mathcal{A}_{2\ell-1}\| + \|\mathcal{A}_{2\ell-1} - \mathcal{A}_{2\ell}\| \leq \frac{4N}{T^c}.$$

Proof. Let $m := \max(|S_A|, |S_B|)$. Then

$$\|\mathcal{A}_{2\ell-2} - \mathcal{A}_{2\ell-1}\| + \|\mathcal{A}_{2\ell-1} - \mathcal{A}_{2\ell}\| = m \frac{P}{2^\ell}.$$

Without loss of generality, we assume that $m = |S_A|$. To show the lemma, it suffices to prove that $|S_A| \leq \frac{4N/T^c}{P/2^\ell}$.

We consider the sum $\sum_{j \in R} |a_j - b_j|$ where R is the set of all j such that $|a_j - b_j| \geq \frac{P}{2^\ell}$, with (a_1, a_2, \dots) evolving from \mathcal{A}_0 to $\mathcal{A}_{2\ell-2}$ and $\mathcal{B}^* = (b_1, b_2, \dots)$ fixed. Initially (when $(a_1, a_2, \dots) = \mathcal{A}_0$), we have

$$\frac{P}{2^\ell} \leq |a_j - b_j| \leq \frac{2N}{T} + \frac{a_j + b_j}{T^c}$$

for each $j \in R$. Since $\ell \leq (\log_2 T) - 2$, the left inequality implies

$$|a_j - b_j| \geq \frac{4N}{T},$$

which combined with the right inequality yields

$$\frac{a_j + b_j}{T^c} \geq \frac{2N}{T}. \quad (2)$$

Therefore

$$\begin{aligned} \sum_{i \in R} |a_i - b_i| &\leq \sum_{i \in R} \left(\frac{2N}{T} + \frac{a_i + b_i}{T^c} \right) \\ &\leq 2 \sum_{i \in R} \frac{a_i + b_i}{T^c} \\ &\leq \frac{4N}{T^c}, \end{aligned}$$

where the third line uses (2).

The sum $\sum_{i \in R} |a_i - b_i|$ is not increased by any step of the algorithm that generates $\mathcal{A}_0, \dots, \mathcal{A}_{2\ell-2}$. Therefore, at the beginning of the ℓ^{th} iteration, we still have $\sum_{i \in R} |a_i - b_i| \leq \frac{4N}{T^c}$. This means that $|S_A| \leq \frac{4N/T^c}{P/2^\ell}$. ■

2.3 Quantum Lower Bounds

Recall that we listed four properties that we needed the chopping procedure to satisfy. We have already seen that it satisfies properties (i)-(ii), so the remaining step is to show that it satisfies property (iii). That is, we need to lower-bound $Q(\mathcal{A}_\ell, \mathcal{A}_{\ell-1})$, the bounded-error quantum query complexity of distinguishing inputs of type \mathcal{A}_ℓ from inputs of type $\mathcal{A}_{\ell-1}$. To do this, it will be convenient to consider two cases: first, that forming \mathcal{A}_ℓ involved chopping few elements of $\mathcal{A}_{\ell-1}$, and second, that it involved chopping many elements. We will show that we “win either way,” by a different quantum lower bound in each case.

First consider the case that few elements were chopped. Here we prove a lower bound using Ambainis’s quantum adversary method [5], in its “general” form (the one used, for example, to lower-bound the quantum query complexity of inverting a permutation). For completeness, we now state Ambainis’s adversary theorem in the form we will need.

Theorem 15 (Ambainis [5]) *Let $A, B \subseteq [M]^N$ be two sets of inputs with $A \cap B = \emptyset$. Let $R \subseteq A \times B$ be a relation on input pairs, such that for every $X \in A$ there exists at least one $Y \in B$ with $(X, Y) \in R$ and vice versa. Given inputs $X = (x_1, \dots, x_N)$ in A and $Y = (y_1, \dots, y_N)$ in B , let*

$$q_{X,i} = \Pr_{Y \in B} [x_i \neq y_i \mid (X, Y) \in R],$$

$$q_{Y,i} = \Pr_{X \in A} [x_i \neq y_i \mid (X, Y) \in R].$$

Suppose that $q_{X,i}q_{Y,i} \leq \alpha$ for every $(X, Y) \in R$ and every $i \in [N]$ such that $x_i \neq y_i$. Then $Q(A, B) = \Omega(1/\sqrt{\alpha})$.

Using Theorem 15, we can prove the following lower bound on $Q(\mathcal{A}_\ell, \mathcal{A}_{\ell-1})$.

Lemma 16 *Let $d = \|\mathcal{A}_\ell - \mathcal{A}_{\ell-1}\|$, and assume $d \leq N/2$. Then $Q(\mathcal{A}_\ell, \mathcal{A}_{\ell-1}) = \Omega(\sqrt{N/d})$.*

Proof. Let $\mathcal{A}_{\ell-1} = (a_1, a_2, \dots)$, and let $\ell' = \lceil \frac{\ell}{2} \rceil$. Then in the transition from $\mathcal{A}_{\ell-1}$ to \mathcal{A}_ℓ , we augment or chop various rows by $P/2^{\ell'}$ elements each. Let $i(1), \dots, i(r)$ be the r rows in $\mathcal{A}_{\ell-1}$ that get chopped and let $i'(1), \dots, i'(r)$ be the r rows in $\mathcal{A}_{\ell-1}$ that get augmented.

Fix distinct $h_1, \dots, h_r \in [M]$ and $h'_1, \dots, h'_r \in [M]$. Also, let us restrict ourselves to inputs such that for each $j \in [r]$, there are exactly $a_{i(j)}$ indices $i \in [N]$ satisfying $x_i = h_j$ and exactly $a_{i'(j)}$ indices $i \in [N]$ satisfying $x_i = h'_j$. Given inputs $X = (x_1, \dots, x_N)$ in $\mathcal{A}_{\ell-1}$ and $Y = (y_1, \dots, y_N)$ in \mathcal{A}_ℓ , we set $(X, Y) \in R$ if and only if it is possible to transform X to Y in the following way:

- (1) For each $j \in [r]$, change exactly $P/2^{\ell'}$ of the x_i ’s that are equal to h_j to value h'_j . (The total number of changed elements is d .)
- (2) Swap the d elements of X that were changed in step (1) with any other d elements x_i of X , subject to the following constraints:

- (a) we do not use x_i such that $x_i = h_j$ for some j and $\frac{a_{i(j)} - P/2^{\ell'}}{P/2^{\ell'}} < \frac{N-d}{3d}$;
- (b) we do not use x_i such that $x_i = h'_j$ for some j and $\frac{a_{i(j)}}{P/2^{\ell'}} < \frac{N-d}{3d}$.

The procedure is illustrated pictorially in Figure 2. Note that we can reverse the procedure in a natural way to go from Y back to X :

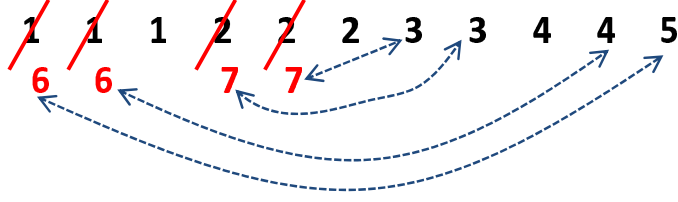


Figure 2: In this example, $N = 11$, $r = 2$, $P/2^\ell = 2$, and $a_1 = a_2 = 3$. So we transform X to Y by choosing $h_1 = 1$ and $h_2 = 2$, changing any two elements equal to h_1 and any two elements equal to h_2 , and then swapping the four elements that we changed with four unchanged elements.

- (1) For each $j \in [r]$, change exactly $P/2^\ell$ of the x_i 's that are equal to h_j to value h_j .
- (2) Swap the d elements of X that were changed in step (1) with any d elements x_i of X , subject to the same constraints as in the step (2) of the $X \rightarrow Y$ conversion.

Fix any $(X, Y) \in R$, and let $i \in [N]$ be any index such that $x_i \neq y_i$. Then we claim that the parameters of Theorem 15 satisfy either $q_{X,i} \leq \frac{6d}{N-d}$ or $q_{Y,i} \leq \frac{6d}{N-d}$. To see this, let us write $q_{X,i} = q'_{X,i} + q''_{X,i}$, where $q'_{X,i}$ is the probability that x_i is changed in step (1) of the $X \rightarrow Y$ conversion and $q''_{X,i}$ is the probability that x_i is not changed in step (1), but is swapped with some changed element in step (2). We also express $q_{Y,i}$ in a similar way, with respect to the $Y \rightarrow X$ conversion.

We consider two cases. The first case is that x_i is one of the “other d elements” with which we swap the changed elements in step (2) of the $X \rightarrow Y$ conversion. In this case, $q'_{X,i} \neq 0$ only if $x_i = h_j$ for some j . Then because of the constraint (a), we have $q'_{X,i} \leq \frac{3d}{N+2d}$. We also have

$$q''_{X,i} = \Pr_{Y' \in \mathcal{A}_\ell} [x_i \neq y'_i \mid (X, Y') \in R] \leq \frac{d}{(N-d)/3} = \frac{3d}{N-d},$$

because each of the constraints (a) and (b) eliminates at most $(N-d)/3$ of the $N-d$ variables x_i that are available for swapping in step (2). Therefore, $q_{X,i} = q'_{X,i} + q''_{X,i} \leq \frac{6d}{N-d}$.

The second case is that x_i is one of the elements that are changed in step (1) of the $X \rightarrow Y$ conversion. Then y_i is one of the “other d elements” in step (2) of the $Y \rightarrow X$ conversion. Similarly to the previous case, we can show that $q_{Y,i} \leq \frac{6d}{N-d}$.

Since $q_{X,i} \leq 1$ and $q_{Y,i} \leq 1$, it follows that

$$q_{X,i} q_{Y,i} \leq \frac{6d}{N-d}.$$

Thus, by Theorem 15,

$$\mathbb{Q}(\mathcal{A}_\ell, \mathcal{A}_{\ell-1}) = \Omega\left(\frac{1}{\sqrt{q_{X,i} q_{Y,i}}}\right) = \Omega\left(\sqrt{\frac{N-d}{d}}\right) = \Omega\left(\sqrt{\frac{N}{d}}\right).$$

■

We now consider the case that many elements are chopped. Here we prove a lower bound by reduction from SETEQUALITY. Given two sequences of integers $Y \in [M]^N$ and $Z \in [M]^N$, neither with any repeats, the SETEQUALITY problem is to decide whether Y and Z are equal as sets or disjoint as sets, promised that one of these is the case. SETEQUALITY is similar to the collision

problem studied by Aaronson and Shi [3], but it lacks permutation symmetry, making it harder to prove a lower bound by the polynomial method. By combining the collision lower bound with Ambainis’s adversary method, Midrijanis [24] was nevertheless able to show that

$$Q(\text{SETEQUALITY}) = \Omega\left(\left(\frac{N}{\log N}\right)^{1/5}\right).$$

Very recently, and using different ideas, Zhandry [33] managed to improve Midrijanis’s lower bound to the following:

Theorem 17 (Zhandry [33]) $Q(\text{SETEQUALITY}) = \Omega(N^{1/3})$.

Theorem 17 is known to be tight, by the upper bound of Brassard, Høyer, and Tapp [13] mentioned in Section 1.1.

We will consider a modification of the SETEQUALITY problem, which we call 3SETEQUALITY. Here we are given three sequences of integers $Y, Z, W \in [M]^N$, none of which has any repeats. We are promised that Y and W are disjoint as sets, and that Z is equal either to Y or to W as a set. The task is to distinguish between those two cases.

Theorem 18 $Q(3\text{SETEQUALITY}) = \Omega(N^{1/3})$.

Proof. The theorem follows from Theorem 17 together with the following claim: if 3SETEQUALITY is solvable by a quantum algorithm \mathcal{A} that uses T queries, then 3SETEQUALITY is solvable by a quantum algorithm that uses $O(T)$ queries.

To show this, let Y, W be an instance of SETEQUALITY. We produce an instance of 3SETEQUALITY by choosing Z to be either a randomly permuted version of Y or a randomly permuted version of W . We then run the algorithm for 3SETEQUALITY on that instance. If Y and W are disjoint, then the promise of 3SETEQUALITY is satisfied and the algorithm will find whether we used Y or W to generate Z . If $Y = W$, then using Y and using W results in the same probability distribution for Z ; hence no algorithm will be able to guess whether we used Y or W with probability greater than $1/2$. ■

We now use Theorem 18 to prove another lower bound on $Q(\mathcal{A}_\ell, \mathcal{A}_{\ell-1})$.

Lemma 19 *Suppose \mathcal{A}_ℓ was formed from $\mathcal{A}_{\ell-1}$ by chopping r rows. Then $Q(\mathcal{A}_\ell, \mathcal{A}_{\ell-1}) = \Omega(r^{1/3})$.*

Proof. We will show how to embed a 3SETEQUALITY instance of size r into the \mathcal{A}_ℓ versus $\mathcal{A}_{\ell-1}$ problem.

Let $\mathcal{A}_{\ell-1} = (a_1, \dots, a_u)$. Also, let $i(1), \dots, i(r) \in [u]$ be the r rows that are chopped in going from $\mathcal{A}_{\ell-1}$ to \mathcal{A}_ℓ , let $i'(1), \dots, i'(r) \in [u]$ be the r rows that are augmented, and let $j(1), \dots, j(u-2r) \in [u]$ be the $u-2r$ rows that are left unchanged. Recall that, in going from $\mathcal{A}_{\ell-1}$ to \mathcal{A}_ℓ , each row $i(k)$ (or $i'(k)$) is chopped or augmented by $P/2^{\ell'}$ elements, where $\ell' = \lceil \frac{\ell}{2} \rceil$.

Now let $Y = (y_1, \dots, y_r)$, $Z = (z_1, \dots, z_r)$, $W = (z_1, \dots, z_r)$ be an instance of 3SETEQUALITY. Then we construct an input $X \in [M]^N$ as follows. First, for each $k \in [r]$, set $a_{i(k)} - P/2^{\ell'}$ of the x_i ’s equal to y_k , set $P/2^{\ell'}$ of the x_i ’s equal to z_k and set $a'_{i(k)}$ of the x_i ’s equal to w_k . Next, let $w_1, w_2, \dots \in [M]$ be a list of numbers that are guaranteed *not* to be in $Y \cup Z$. Then for each $k \in [u-2r]$, set $a_{j(k)}$ of the x_i ’s equal to w_k .

It is easy to see that, if Y and Z are equal as sets, then X will have type $\mathcal{A}_{\ell-1}$, while if Z and W are equal as sets, then X will have type \mathcal{A}_ℓ . So in deciding whether X belongs to \mathcal{A}_ℓ or $\mathcal{A}_{\ell-1}$, we also decide whether $Y = Z$ or $Z = W$. The lemma now follows from Theorem 18. ■

2.4 Putting Everything Together

Let \mathcal{C} be a quantum query algorithm that distinguishes $\mathcal{A}_0 = \mathcal{A}^*$ from $\mathcal{A}_{2L} = \mathcal{B}^*$, and assume \mathcal{C} is optimal: that is, it makes $Q(\mathcal{A}^*, \mathcal{B}^*) \leq Q(f)$ queries. As mentioned earlier, we can assume that $\Pr[\mathcal{C} \text{ accepts } X]$ depends only on the type of X . Thus, let

$$p_\ell := \Pr[\mathcal{C} \text{ accepts } X \in \mathcal{A}_\ell].$$

Then by assumption, $|p_0 - p_{2L}| \geq 1/3$. Now let $\beta_\ell := \frac{1}{10\ell^2}$, and observe that $\sum_{\ell=1}^{\infty} \beta_\ell < \frac{1}{6}$. By the triangle inequality, it follows that there exists an $\ell \in [2L]$ such that $|p_\ell - p_{\ell-1}| \geq \beta_\ell$. In other words, we get a $Q(f)$ -query quantum algorithm that distinguishes \mathcal{A}_ℓ from $\mathcal{A}_{\ell-1}$ with bias β_ℓ . By Proposition 13, this immediately implies

$$Q(\mathcal{A}_\ell, \mathcal{A}_{\ell-1}) = O\left(\frac{Q(f)}{\beta_\ell}\right)$$

or equivalently

$$Q(f) = \Omega\left(\frac{Q(\mathcal{A}_\ell, \mathcal{A}_{\ell-1})}{\ell^2}\right).$$

Now let $d = \|\mathcal{A}_\ell - \mathcal{A}_{\ell-1}\|$, and suppose \mathcal{A}_ℓ was produced from $\mathcal{A}_{\ell-1}$ by chopping r rows. Then $d = rP/2^{\ell'} \leq 2rN/2^{\ell'}$ where $\ell' = \lceil \frac{\ell}{2} \rceil$. Combining Lemmas 16 and 19, we find that

$$\begin{aligned} Q(\mathcal{A}_\ell, \mathcal{A}_{\ell-1}) &= \Omega\left(\max\left\{\sqrt{\frac{N}{d}}, r^{1/3}\right\}\right) \\ &= \Omega\left(\sqrt{\frac{2^{\ell'}}{r}} + r^{1/3}\right) \\ &= \Omega\left(2^{\ell'/5}\right), \end{aligned}$$

since the minimum occurs when r is asymptotically $2^{3\ell'/5}$. If $\ell' \leq (\log_2 T) - 2$, then combining Lemmas 16 and 14, we also have the lower bound

$$Q(\mathcal{A}_\ell, \mathcal{A}_{\ell-1}) = \Omega\left(\sqrt{\frac{N}{4N/T^c}}\right) = \Omega(\sqrt{T^c}).$$

Hence

$$Q(f) = \begin{cases} \Omega\left(\frac{\sqrt{T^c}}{\ell^2}\right) & \text{if } \ell' \leq (\log_2 T) - 2 \\ \Omega\left(2^{\ell'/5}\right) & \text{if } \ell' > (\log_2 T) - 2. \end{cases}$$

Let us now make the choice $c = 2/5$, so that we get a lower bound of

$$Q(f) = \Omega\left(\frac{T^{1/5}}{\log^2 T}\right)$$

in either case. Hence $T = O(Q(f)^5 \log^{10} Q(f))$. By Lemma 12:

$$\begin{aligned} R(f) &= O(T^{1+c} \log T) \\ &= O(T^{7/5} \log T) \\ &= O(Q(f)^7 \log^{15} Q(f)). \end{aligned}$$

This completes the proof of Theorem 5.

3 Quantum Lower Bounds Under The Uniform Distribution

In this section, we consider the problems of $\mathsf{P} \stackrel{?}{=} \mathsf{BQP}$ relative to a random oracle, and of simulating a T -query quantum algorithm on *most* inputs using $T^{O(1)}$ classical queries. We show that these problems are connected to a fundamental conjecture about influences in low-degree polynomials.

Recall Conjecture 6, which said that *bounded polynomials have influential variables*: that is, for every degree- d polynomial $p : \mathbb{R}^N \rightarrow \mathbb{R}$ such that $0 \leq p(X) \leq 1$ for all $X \in \{0, 1\}^N$, there exists an $i \in [N]$ such that $\text{Inf}_i [p] \geq (\text{Var} [p] / d)^{O(1)}$, where

$$\begin{aligned} \text{Inf}_i [p] &:= \mathbb{E}_{X \in \{0,1\}^N} [(p(X) - p(X^i))^2], \\ \text{Var} [p] &:= \mathbb{E}_{X \in \{0,1\}^N} [(p(X) - \mathbb{E} [p])^2]. \end{aligned}$$

We will show that Conjecture 6 has several powerful consequences for quantum complexity theory.

As a first step, let

$$\text{Inf} [p] := \sum_{i=1}^N \text{Inf}_i [p]$$

be the *total influence* of p . Then we have the following bound, versions of which have long been known in the analysis of Boolean functions community,⁸ but which we prove for completeness.

Lemma 20 (folklore) *Let $p : \mathbb{R}^N \rightarrow \mathbb{R}$ be a degree- d real polynomial such that $0 \leq p(X) \leq 1$ for all $X \in \{0, 1\}^N$. Then $\text{Inf} [p] \leq d$.*

Proof. Let q be the analogue of p in the Fourier representation:

$$q(x_1, \dots, x_N) := 1 - 2p\left(\frac{1-x_1}{2}, \dots, \frac{1-x_N}{2}\right).$$

Clearly $\deg(q) = \deg(p) = d$ and $-1 \leq q(X) \leq 1$ for all $X \in \{1, -1\}^N$. Also, defining X^i to be $X \in \{1, -1\}^N$ with x_i negated, and

$$\text{Inf}_i [q] := \frac{1}{4} \mathbb{E}_{X \in \{1,-1\}^N} [(q(X) - q(X^i))^2],$$

we have $\text{Inf}_i [q] = \text{Inf}_i [p]$.

Note that we can express q as

$$q(X) = \sum_{S \subseteq [N] : |S| \leq d} \alpha_S \chi_S(X),$$

where $\alpha_S \in \mathbb{R}$ and $\chi_S(X) := \prod_{i \in S} x_i$ is the Fourier character corresponding to the set S . Furthermore, by Parseval's identity,

$$\sum_{|S| \leq d} \alpha_S^2 = \frac{1}{2^N} \sum_{X \in \{1,-1\}^N} q(X)^2 \leq 1.$$

⁸For example, Shi [28] proved the bound for the special case of Boolean functions, and generalizing his proof to arbitrary bounded functions is straightforward.

Now, in the Fourier representation, it is known that

$$\text{Inf}_i[q] = \sum_{|S| \leq d : i \in S} \alpha_S^2.$$

Hence

$$\text{Inf}[p] = \text{Inf}[q] = \sum_{i \in [N]} \sum_{|S| \leq d : i \in S} \alpha_S^2 = \sum_{|S| \leq d} \sum_{i \in S} \alpha_S^2 = \sum_{|S| \leq d} |S| \alpha_S^2 \leq d \sum_{|S| \leq d} \alpha_S^2 \leq d$$

as claimed. ■

We also need the following lemma of Beals et al. [8].

Lemma 21 (Beals et al.) *Suppose a quantum algorithm Q makes T queries to a Boolean input $X \in \{0, 1\}^N$. Then Q 's acceptance probability is a real multilinear polynomial $p(X)$, of degree at most $2T$.*

3.1 Consequences of Our Influence Conjecture

We now prove our first consequence of Conjecture 6: namely, that it implies the folklore Conjecture 4.

Theorem 22 *Suppose Conjecture 6 holds, and let $\varepsilon, \delta > 0$. Then given any quantum algorithm Q that makes T queries to a Boolean input X , there exists a deterministic classical algorithm that makes $\text{poly}(T, 1/\varepsilon, 1/\delta)$ queries, and that approximates Q 's acceptance probability to within an additive constant ε on a $1 - \delta$ fraction of inputs.*

Proof. Let $p(X)$ be the probability that Q accepts input $X = (x_1, \dots, x_N)$. Then Lemma 21 says that p is a real polynomial of degree at most $2T$. Assume Conjecture 6. Then for every such p , there exists an index i satisfying $\text{Inf}_i[p] \geq w(\text{Var}[p]/T)$, for some fixed polynomial w . Under that assumption, we give a classical algorithm C that makes $\text{poly}(T, 1/\varepsilon, 1/\delta)$ queries to the x_i 's, and that approximates $p(X)$ on most inputs X . In what follows, assume $X \in \{0, 1\}^N$ is uniformly random.

```

set  $p_0 := p$ 
for  $j := 0, 1, 2, \dots$ :
  if  $\text{Var}[p_j] \leq \varepsilon^2 \delta / 2$ 
    output  $\mathbb{E}_{Y \in \{0,1\}^{N-j}} [p_j(Y)]$  as approximation for  $p(X)$  and halt
  else
    find an  $i \in [N - j]$  such that  $\text{Inf}_i[p_j] > w(\varepsilon^2 \delta / 2T)$ 
    query  $x_i$ , and let  $p_{j+1} : \mathbb{R}^{N-j} \rightarrow \mathbb{R}$  be the polynomial
      induced by the answer

```

When C halts, by assumption $\text{Var}[p_j] \leq \varepsilon^2 \delta / 2$. By Markov's inequality, this implies

$$\Pr_{X \in \{0,1\}^{N-j}} [|p_j(X) - \mathbb{E}[p_j]| > \varepsilon] < \frac{\delta}{2},$$

meaning that *when C halts*, it succeeds with probability at least $1 - \delta/2$.

On the other hand, suppose $\text{Var}[p_j] > \varepsilon^2 \delta / 2$. Then by Conjecture 6, there exists an index $i^* \in [N]$ such that

$$\text{Inf}_{i^*}[p_j] \geq w \left(\frac{\text{Var}[p_j]}{T} \right) \geq w \left(\frac{\varepsilon^2 \delta}{2T} \right).$$

Thus, suppose we query x_{i^*} . Since X is uniformly random, x_{i^*} will be 0 or 1 with equal probability, even conditioned on the results of all previous queries. So after the query, our new polynomial p_{j+1} will satisfy

$$\Pr[p_{j+1} = p_{j|x_{i^*}=0}] = \Pr[p_{j+1} = p_{j|x_{i^*}=1}] = \frac{1}{2},$$

where $p_{j|x_{i^*}=0}$ and $p_{j|x_{i^*}=1}$ are the polynomials on $N - j - 1$ variables obtained from p_j by restricting x_{i^*} to 0 or 1 respectively. Therefore

$$\begin{aligned} \mathbb{E}_{x_{i^*} \in \{0,1\}} [\text{Inf}[p_{j+1}]] &= \frac{1}{2} (\text{Inf}[p_{j|x_{i^*}=0}] + \text{Inf}[p_{j|x_{i^*}=1}]) \\ &= \frac{1}{2} \left(\sum_{i \neq i^*} \text{Inf}_i[p_{j|x_{i^*}=0}] + \sum_{i \neq i^*} \text{Inf}_i[p_{j|x_{i^*}=1}] \right) \\ &= \sum_{i \neq i^*} \text{Inf}_i[p_j] \\ &= \text{Inf}[p_j] - \text{Inf}_{i^*}[p_j] \\ &\leq \text{Inf}[p_j] - w \left(\frac{\varepsilon^2 \delta}{2T} \right). \end{aligned}$$

By linearity of expectation, this implies that for all j ,

$$\mathbb{E}_{X \in \{0,1\}^N} [\text{Inf}[p_j]] \leq \text{Inf}[p_0] - jw \left(\frac{\varepsilon^2 \delta}{2T} \right)$$

But recall from Lemma 20 that

$$\text{Inf}[p_0] \leq \deg(p_0) \leq 2T.$$

It follows that C halts after an expected number of iterations that is at most

$$\frac{\text{Inf}[p_0]}{w(\varepsilon^2 \delta / 2T)} \leq \frac{2T}{w(\varepsilon^2 \delta / 2T)}.$$

Thus, by Markov's inequality, the probability (over X) that C has *not* halted after $\frac{4T}{\delta \cdot w(\varepsilon^2 \delta / 2T)}$ iterations is at most $\delta/2$. Hence by the union bound, the probability over X that C fails is at most $\delta/2 + \delta/2 = \delta$. Since each iteration queries exactly one variable and

$$\frac{4T}{\delta \cdot w(\varepsilon^2 \delta / 2T)} = \text{poly}(T, 1/\varepsilon, 1/\delta),$$

this completes the proof. ■

An immediate corollary is the following:

Corollary 23 *Suppose Conjecture 6 holds. Then $D_{\varepsilon+\delta}(f) \leq (Q_\varepsilon(f)/\delta)^{O(1)}$ for all Boolean functions f and all $\varepsilon, \delta > 0$.*

Proof. Let Q be a quantum algorithm that evaluates $f(X)$, with bounded error, on a $1 - \varepsilon$ fraction of inputs $X \in \{0, 1\}^N$. Let $p(X) := \Pr [Q \text{ accepts } X]$. Now run the classical simulation algorithm C from Theorem 22, to obtain an estimate $\tilde{p}(X)$ of $p(X)$ such that

$$\Pr_{X \in \{0,1\}^N} \left[|\tilde{p}(X) - p(X)| \leq \frac{1}{10} \right] \geq 1 - \delta.$$

Output $f(X) = 1$ if $\tilde{p}(X) \geq \frac{1}{2}$ and $f(X) = 0$ otherwise. By the theorem, this requires $\text{poly}(T, 1/\delta)$ queries to X , and by the union bound it successfully computes $f(X)$ on at least a $1 - \varepsilon - \delta$ fraction of inputs X . ■

We also get the following complexity-theoretic consequence:

Theorem 24 *Suppose Conjecture 6 holds. Then $\mathbf{P} = \mathbf{P}^{\#\mathbf{P}}$ implies $\mathbf{BQP}^A \subset \mathbf{AvgP}^A$ with probability 1 for a random oracle A .*

Proof. Let Q be a polynomial-time quantum Turing machine that queries an oracle A , and assume Q decides some language $L \in \mathbf{BQP}^A$ with bounded error. Given an input $x \in \{0, 1\}^n$, let $p_x(A) := \Pr [Q^A(x) \text{ accepts}]$. Then clearly $p_x(A)$ depends only on some finite prefix B of A , of size $N = 2^{\text{poly}(n)}$. Furthermore, Lemma 21 implies that p_x is a polynomial in the bits of B , of degree at most $\text{poly}(n)$.

Assume Conjecture 6 as well as $\mathbf{P} = \mathbf{P}^{\#\mathbf{P}}$. Then we claim that there exists a deterministic polynomial-time algorithm C such that for all Q and $x \in \{0, 1\}^n$,

$$\Pr_A \left[|\tilde{p}_x(A) - p_x(A)| > \frac{1}{10} \right] < \frac{1}{n^3}, \quad (3)$$

where $\tilde{p}_x(A)$ is the output of C given input x and oracle A . This C is essentially just the algorithm from Theorem 22. The key point is that we can implement C using not only $\text{poly}(n)$ queries to A , but also $\text{poly}(n)$ computation steps.

To prove the claim, let M be any of the $2^{\text{poly}(n)}$ monomials in the polynomial p_j from Theorem 22, and let α_M be the coefficient of M . Then notice that α_M can be computed to $\text{poly}(n)$ bits of precision in $\mathbf{P}^{\#\mathbf{P}}$, by the same techniques used to show $\mathbf{BQP} \subseteq \mathbf{P}^{\#\mathbf{P}}$ [11]. Therefore the expectation

$$\mathbf{E}_{Y \in \{0,1\}^{N-j}} [p_j(Y)] = \sum_M \frac{\alpha_M}{2^{|M|}}$$

can be computed in $\mathbf{P}^{\#\mathbf{P}}$ as well. The other two quantities that arise in the algorithm— $\text{Var} [p_j]$ and $\text{Inf}_i [p_j]$ —can also be computed in $\mathbf{P}^{\#\mathbf{P}}$, since they are simply sums of squares of differences of $p_j(X)$'s. This means that finding an i such that $\text{Inf}_i [p_j] > w(\varepsilon^2 \delta / T)$ is in $\mathbf{NP}^{\#\mathbf{P}}$. But under the assumption that $\mathbf{P} = \mathbf{P}^{\#\mathbf{P}}$, we have $\mathbf{P} = \mathbf{NP}^{\#\mathbf{P}}$ as well. Therefore all of the computations needed to implement C take polynomial time.

Now let $\delta_n(A)$ be the fraction of inputs $x \in \{0, 1\}^n$ such that $|\tilde{p}_x(A) - p_x(A)| > \frac{1}{10}$. Then by (3) together with Markov's inequality,

$$\Pr_A \left[\delta_n(A) > \frac{1}{n} \right] < \frac{1}{n^2}.$$

Since $\sum_{n=1}^{\infty} \frac{1}{n^2}$ converges, it follows that $\delta_n(A) \leq \frac{1}{n}$ for all but finitely many values of n , with probability 1 over A . Assuming this occurs, we can simply hardwire the behavior of Q on the remaining n 's into our classical simulation procedure C . Hence $L \in \mathbf{AvgP}^A$.

Since the number of BQP^A languages is countable, the above implies that $L \in \text{AvgP}^A$ for every $L \in \text{BQP}^A$ *simultaneously* (that is, $\text{BQP}^A \subset \text{AvgP}^A$) with probability 1 over A . ■

As a side note, suppose we had an extremely strong variant of Conjecture 6, one that implied something like

$$\Pr_A \left[|\tilde{p}_x(A) - p_x(A)| > \frac{1}{10} \right] < \frac{1}{\exp(n)}.$$

in place of (3). Then we could eliminate the need for AvgP in Theorem 24, and show that $\text{P} = \text{P}^{\#\text{P}}$ implies $\text{P}^A = \text{BQP}^A$ with probability 1 for a random oracle A .

3.2 Unconditional Results

We conclude this section with some unconditional results. These results will use Theorem 9 of Dinur et al. [18]: that for every degree- d polynomial $p : \mathbb{R}^N \rightarrow \mathbb{R}$ such that $0 \leq p(X) \leq 1$ for all $X \in \{0,1\}^N$, there exists a polynomial \tilde{p} depending on at most $2^{O(d)}/\varepsilon^2$ variables such that $\|\tilde{p} - p\|_2^2 \leq \varepsilon$, where

$$\|p\|_2^2 := \mathbb{E}_{X \in \{0,1\}^N} [p(X)^2].$$

Theorem 9 has the following simple corollary.

Corollary 25 *Suppose a quantum algorithm Q makes T queries to a Boolean input $X \in \{0,1\}^N$. Then for all $\alpha, \delta > 0$, we can approximate Q 's acceptance probability to within an additive constant α , on a $1 - \delta$ fraction of inputs, by making $\frac{2^{O(T)}}{\alpha^4 \delta^4}$ deterministic classical queries to X . (Indeed, the classical queries are nonadaptive.)*

Proof. Let $p(X) := \Pr[Q \text{ accepts } X]$. Then p is a degree- $2T$ real polynomial by Lemma 21. Hence, by Theorem 9, there exists a polynomial \tilde{p} , depending on $K = \frac{2^{O(T)}}{\alpha^4 \delta^4}$ variables x_{i_1}, \dots, x_{i_K} , such that

$$\mathbb{E}_{X \in \{0,1\}^N} [(\tilde{p}(X) - p(X))^2] \leq \alpha^2 \delta^2.$$

By the Cauchy-Schwarz inequality, then,

$$\mathbb{E}_{X \in \{0,1\}^N} [|\tilde{p}(X) - p(X)|] \leq \alpha \delta,$$

so by Markov's inequality

$$\Pr_{X \in \{0,1\}^N} [|\tilde{p}(X) - p(X)| > \alpha] < \delta.$$

Thus, our algorithm is simply to query x_{i_1}, \dots, x_{i_K} , and then output $\tilde{p}(X)$ as our estimate for $p(X)$. ■

Likewise:

Corollary 26 $D_{\varepsilon+\delta}(f) \leq 2^{O(Q_\varepsilon(f))}/\delta^4$ for all Boolean functions f and all $\varepsilon, \delta > 0$.

Proof. Set α to any constant less than $1/6$, then use the algorithm of Corollary 25 to simulate the ε -approximate quantum algorithm for f . Output $f(X) = 1$ if $\tilde{p}(X) \geq \frac{1}{2}$ and $f(X) = 0$ otherwise. ■

Given an oracle A , let $\text{BQP}^{A[\log]}$ be the class of languages decidable by a BQP machine able to make $O(\log n)$ queries to A . Also, let $\text{AvgP}_{\parallel}^A$ be the class of languages decidable, with probability $1 - o(1)$ over $x \in \{0,1\}^n$, by a P machine able to make $\text{poly}(n)$ parallel (nonadaptive) queries to A . Then we get the following unconditional variant of Theorem 24.

Theorem 27 *Suppose $P = P^{\#P}$. Then $BQP^{A[\log]} \subset \text{AvgP}_{\parallel}^A$ with probability 1 for a random oracle A .*

Proof. The proof is essentially the same as that of Theorem 24, except that we use Corollary 25 in place of Conjecture 6. In the proof of Corollary 25, observe that the condition

$$\mathbb{E}_{X \in \{0,1\}^N} [|\tilde{p}(X) - p(X)|] \leq \alpha\delta$$

implies

$$\mathbb{E}_{X \in \{0,1\}^N} [|p_{\mu}(X) - p(X)|] \leq \alpha\delta \tag{4}$$

as well, where $p_{\mu}(X)$ equals the mean of $p(Y)$ over all inputs Y that agree with X on x_{i_1}, \dots, x_{i_K} . Thus, given a quantum algorithm that makes T queries to an oracle string, the computational problem that we need to solve boils down to finding a subset of the oracle bits x_{i_1}, \dots, x_{i_K} such that $K = \frac{2^{O(T)}}{\alpha^4 \delta^4}$ and (4) holds. Just like in Theorem 24, this problem is solvable in the counting hierarchy $\text{CH} = P^{\#P} \cup P^{\#P^{\#P}} \cup \dots$. So if we assume $P = P^{\#P}$, then it is also solvable in P .

In Theorem 24, the conclusion we got was $BQP^A \subset \text{AvgP}^A$ with probability 1 for a random oracle A . In our case, the number of classical queries K is exponential (rather than polynomial) in the number of quantum queries T , so we only get $BQP^{A[\log]} \subset \text{AvgP}^A$. On the other hand, since the classical queries are nonadaptive, we can strengthen the conclusion to $BQP^{A[\log]} \subset \text{AvgP}_{\parallel}^A$. ■

4 Open Problems

It would be nice to improve the $R(f) = O(Q(f)^7 \text{polylog } Q(f))$ bound for all symmetric problems. As mentioned earlier, we conjecture that the right answer is $R(f) = O(Q(f)^2)$. In trying to improve our lower bound, it seems best to avoid the use of SETEQUALITY. After all, it is a curious feature of our proof that, to get a lower bound for symmetric problems, we need to reduce from the *non-symmetric* SETEQUALITY problem!

Another problem is to remove the assumption $M \geq N$ in our lower bound for symmetric problems. Experience with related problems strongly suggests that this can be done, but one might need to replace our chopping procedure by something different.

We also conjecture that $R(f) \leq Q(f)^{O(1)}$ for all partial functions f that are symmetric *only* under permuting the inputs (and not necessarily the outputs). Proving this seems to require a new approach. Another problem, in a similar spirit, is whether $R(f) \leq Q(f)^{O(1)}$ for all partial functions $f : S \rightarrow \{0,1\}$ such that S (i.e., the promise on inputs) is symmetric, but f itself need not be symmetric.

It would be interesting to reprove the $R(f) \leq Q(f)^{O(1)}$ bound using only the polynomial method, and not the adversary method. Or, to rephrase this as a purely classical question: for all $X = (x_1, \dots, x_N)$ in $[M]^N$, let B_X be the $N \times M$ matrix whose $(i,j)^{\text{th}}$ entry is 1 if $x_i = j$ and 0 otherwise. Then given a set $S \subseteq [M]^N$ and a function $f : S \rightarrow \{0,1\}$, let $\widetilde{\text{deg}}(f)$ be the minimum degree of a real polynomial $p : \mathbb{R}^{MN} \rightarrow \mathbb{R}$ such that

- (i) $0 \leq p(B_X) \leq 1$ for all $X \in [M]^N$, and
- (ii) $|p(B_X) - f(X)| \leq \frac{1}{3}$ for all $X \in S$.

Then is it the case that $R(f) \leq \widetilde{\deg}(f)^{O(1)}$ for all permutation-invariant functions f ?

On the random oracle side, the obvious problem is to prove Conjecture 6—thereby establishing that $D_\varepsilon(f)$ and $Q_\delta(f)$ are polynomially related, and all the other consequences shown in Section 3. Alternatively, one could look for some technique that was tailored to polynomials p that arise as the acceptance probabilities of quantum algorithms. In this way, one could conceivably solve $D_\varepsilon(f)$ versus $Q_\delta(f)$ and the other quantum problems, without settling the general conjecture about bounded polynomials.

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6 Appendix: The Boolean Case

Given a partial Boolean function $f : \{0, 1\}^N \rightarrow \{0, 1, *\}$, call f *symmetric* if $f(X)$ depends only on the Hamming weight $|X| := x_1 + \dots + x_N$. For completeness, in this appendix we prove the following basic fact:

Theorem 28 $R(f) = O(Q(f)^2)$ for every partial symmetric Boolean function f .

For *total* symmetric Boolean functions, Theorem 28 was already shown by Beals et al. [8], using an approximation theory result of Paturi [27]. Indeed, in the total case one even has $D(f) = O(Q(f)^2)$. So the new twist is just that f can be partial.

Abusing notation, let $f(k) \in \{0, 1, *\}$ be the value of f on all inputs of Hamming weight k (where as usual, $*$ means ‘undefined’). Then we have the following quantum lower bound:

Lemma 29 Suppose that $f(a) = 0$ and $f(b) = 1$ or vice versa, where $a < b$ and $a \leq N/2$. Then $Q(f) = \Omega\left(\frac{\sqrt{bN}}{b-a}\right)$.

Proof. This follows from a straightforward application of Ambainis’s adversary theorem (Theorem 15). Specifically, let $A, B \subseteq \{0, 1\}^N$ be the sets of all strings of Hamming weights a and b respectively, and for all $X \in A$ and $Y \in B$, put $(X, Y) \in R$ if and only if $X \preceq Y$ (that is, $x_i \leq y_i$ for all $i \in [N]$). Then

$$Q(f) = \Omega\left(\sqrt{\frac{N-a}{b-a} \cdot \frac{b}{b-a}}\right) = \Omega\left(\frac{\sqrt{bN}}{b-a}\right).$$

Alternatively, this lemma can be proved using the approximation theory result of Paturi [27], following Beals et al. [8]. ■

In particular, if we set $\beta := \frac{b}{N}$ and $\varepsilon := \frac{b-a}{N}$, then $Q(f) = \Omega(\sqrt{\beta/\varepsilon})$. On the other hand, we also have the following randomized *upper* bound, which follows from a Chernoff bound (similar to Lemma 11):

Lemma 30 Assume $\beta > \varepsilon > 0$. By making $O(\beta/\varepsilon^2)$ queries to an N -bit string X , a classical sampling algorithm can estimate the fraction $\beta := |X|/N$ of 1 bits to within an additive error $\pm\varepsilon/3$, with success probability at least $2/3$.

Thus, assume the function f is non-constant, and let

$$\gamma := \max_{f(a)=0, f(b)=1} \frac{\sqrt{bN}}{b-a}. \quad (5)$$

Assume without loss of generality that the maximum of (5) is achieved when $a < b$ and $a \leq N/2$, if necessary by applying the transformations $f(X) \rightarrow 1 - f(X)$ and $f(X) \rightarrow f(N - X)$. Now consider the following randomized algorithm to evaluate f , which makes $T := O(\gamma^2)$ queries:

```

Choose indices  $i_1, \dots, i_T \in [N]$  uniformly at random with replacement
Query  $x_{i_1}, \dots, x_{i_T}$ 
Set  $k := \frac{N}{T}(x_{i_1} + \dots + x_{i_T})$ 
If there exists a  $b \in \{0, \dots, N\}$  such that  $f(b) = 1$  and  $|k - b| \leq \frac{\sqrt{bN}}{3\gamma}$ 
    output  $f(X) = 1$ 
Otherwise output  $f(X) = 0$ 

```

By Lemma 30, the above algorithm succeeds with probability at least $2/3$, provided we choose T suitably large. Hence $R(f) = O(\gamma^2)$. On the other hand, Lemma 29 implies that $Q(f) = \Omega(\gamma)$. Hence $R(f) = O(Q(f)^2)$, completing the proof of Theorem 28.

7 Appendix: 1-Norm versus 2-Norm

As mentioned in Section 1.3, in the original version of this paper we stated Conjecture 6, and all our results assuming it, in terms of L_1 -influences rather than L_2 -influences. Subsequently, Arturs Bačkurs discovered a gap in our L_1 -based argument. In recent work, Bačkurs and Bavarian [7] managed to fill the gap, allowing our L_1 -based argument to proceed. Still, the *simplest* fix for the problem Bačkurs uncovered is just to switch from L_1 -influences to L_2 -influences, so that is what we did in Section 3 (and in our current statement of Conjecture 6).

Fortunately, it turns out that the L_1 and L_2 versions of Conjecture 6 are *equivalent*, so making this change does not even involve changing our conjecture. For completeness, in this appendix we prove the equivalence of the L_1 and L_2 versions of Conjecture 6.

As usual, let $p : \{0, 1\}^N \rightarrow [0, 1]$ be a real polynomial, let $X \in \{0, 1\}^N$, and let X^i denote X with the i^{th} bit flipped. Then the L_1 -variance $\text{Vr}[p]$ of p and the L_1 -influence $\text{Inf}_i[p]$ of the i^{th} variable x_i are defined as follows:

$$\begin{aligned} \text{Vr}[p] &:= \mathbb{E}_{X \in \{0,1\}^N} [|p(X) - \mathbb{E}[p]|], \\ \text{Inf}_i^1[p] &:= \mathbb{E}_{X \in \{0,1\}^N} [|p(X) - p(X^i)|]. \end{aligned}$$

The L_1 analogue of Conjecture 6 simply replaces $\text{Var}[p]$ by $\text{Vr}[p]$ and $\text{Inf}_i[p]$ by $\text{Inf}_i^1[p]$:

Conjecture 31 (Bounded Polynomials Have Influential Variables, L_1 Version) Let $p : \mathbb{R}^N \rightarrow \mathbb{R}$ be a degree- d real polynomial such that $0 \leq p(X) \leq 1$ for all $X \in \{0, 1\}^N$. Then there exists an $i \in [N]$ such that $\text{Inf}_i^1[p] \geq (\text{Vr}[p]/d)^{O(1)}$.

We now prove the equivalence:

Proposition 32 *Conjectures 6 and 31 are equivalent.*

Proof. First assume Conjecture 6. By the Cauchy-Schwarz inequality,

$$\text{Inf}_i [p] = \mathbb{E}_{X \in \{0,1\}^N} [(p(X) - p(X^i))^2] \geq \left(\mathbb{E}_{X \in \{0,1\}^N} [|p(X) - p(X^i)|] \right)^2 = \text{Inf}_i^1 [p]^2.$$

Also, since $p(X) \in [0, 1]$,

$$\text{Vr} [p] = \mathbb{E}_{X \in \{0,1\}^N} [|p(X) - \mathbb{E}[p]|] \geq \mathbb{E}_{X \in \{0,1\}^N} [(p(X) - \mathbb{E}[p])^2] = \text{Var} [p].$$

Hence there exists an $i \in [N]$ such that

$$\text{Inf}_i [p] \geq \text{Inf}_i^1 [p]^2 \geq \left(\frac{\text{Vr} [p]}{d} \right)^{O(1)} \geq \left(\frac{\text{Var} [p]}{d} \right)^{O(1)}$$

and Conjecture 31 holds.

Likewise, assume Conjecture 31. Then we have $\text{Inf}_i^1 [p] \geq \text{Inf}_i [p]$ since $p(X) \in [0, 1]$, and $\text{Var} [p] \geq \text{Vr} [p]^2$ by the Cauchy-Schwarz inequality. Hence there exists an $i \in [N]$ such that

$$\text{Inf}_i^1 [p] \geq \text{Inf}_i [p] \geq \left(\frac{\text{Var} [p]}{d} \right)^{O(1)} \geq \left(\frac{\text{Vr} [p]}{d} \right)^{O(1)}$$

and Conjecture 6 holds. ■

8 Appendix: Equivalent Form of Conjecture 4

Recall Conjecture 4, which said (informally) that any quantum algorithm that makes T queries to $X \in \{0, 1\}^N$ can be simulated to within $\pm \varepsilon$ additive error on a $1 - \delta$ fraction of X 's by a classical algorithm that makes $\text{poly}(T, 1/\varepsilon, 1/\delta)$ queries. In Section 1.1, we claimed that Conjecture 4 was equivalent to an alternative conjecture, which we now state more formally:

Conjecture 33 *Let $S \subseteq \{0, 1\}^N$ with $|S| \geq c2^N$, and let $f : S \rightarrow \{0, 1\}$. Then there exists a deterministic classical algorithm that makes $\text{poly}(Q(f), 1/\alpha, 1/c)$ queries, and that computes $f(X)$ on at least a $1 - \alpha$ fraction of $X \in S$.*

In this appendix, we justify the equivalence claim. We first need a simple combinatorial lemma.

Lemma 34 *Suppose we are trying to learn an unknown real $p \in [0, 1]$. There are k “hint bits” h_1, \dots, h_k , where each h_i is 0 if $(i - 1)/k \leq p$ or 1 if $i/k \geq p$ (and can otherwise be arbitrary). However, at most $b < k/2$ of the h_i 's are then corrupted by an adversary, producing the new string h'_1, \dots, h'_k . Using h'_1, \dots, h'_k , one can still determine p to within additive error $\pm (b + 1)\varepsilon$.*

Proof. Given the string $h' = (h'_1, \dots, h'_k)$, we apply the following correction procedure: we repeatedly search for pairs $i < j$ such that $h'_i = 1$ and $h'_j = 0$, and “delete” those pairs (that is, we set $h'_i = h'_j = *$, where $*$ means “unknown”). We continue for t steps, until no more such pairs exist.

Next, we delete the rightmost $b - t$ zeroes in h' (replacing them with *'s), and likewise delete the leftmost $b - t$ ones. Finally, as our estimate for p , we output

$$q := \frac{i^* + j^* - 1}{2k},$$

where i^* is the index of the rightmost 0 remaining in h' (or $i^* = 0$ if no 0's remain), and j^* is the index of the leftmost 1 remaining (or $j^* = k + 1$ if no 1's remain).

To show correctness: every time we find an $i < j$ pair such that $h'_i = 1$ and $h'_j = 0$, at least one of h'_i and h'_j must have been corrupted by the adversary. It follows that $t \leq b$, where t is the number of deleted pairs. Furthermore, after the first stage finishes, every 1 is to the right of every 0, at most $b - t$ of the remaining bits are corrupted, and the bits that *are* corrupted must be among the rightmost zeroes of the leftmost ones (or both). Hence, after the second stage finishes, every $h'_i = 0$ reliably indicates that $p \geq (i - 1) / k$, and every $h'_j = 1$ reliably indicates that $p \leq j / k$. Moreover, since only $2b$ bits are deleted in total, we must have $j^* - i^* \leq 2b + 1$, where i^* and j^* are as defined above. It follows that $|p - q| \leq (b + 1)\epsilon$. ■

Theorem 35 *Conjectures 4 and 33 are equivalent.*

Proof. We start with the easy direction, that Conjecture 4 implies Conjecture 33. Given $f : S \rightarrow \{0, 1\}$ with $|S| \geq c2^N$, let Q be a quantum algorithm that evaluates f with error probability at most $1/3$ using T queries. Let $p(X)$ be Q 's acceptance probability on a given input $X \in \{0, 1\}^N$ (not necessarily in S). Then by Conjecture 4, there exists a deterministic classical algorithm that approximates $p(X)$ to within additive error $\pm\epsilon$ on a $1 - \delta$ fraction of $X \in \{0, 1\}^N$ using $\text{poly}(T, 1/\epsilon, 1/\delta)$ queries. If we set (say) $\epsilon := 1/7$ and $\delta := \alpha c$, then such an approximation lets us decide whether $f(X) = 0$ or $f(X) = 1$ for a $1 - \alpha$ fraction of $X \in S$, using $\text{poly}(T, 1/\alpha, 1/c)$ queries.

We now show the other direction, that Conjecture 33 implies Conjecture 4. Let Q be a T -query quantum algorithm, let $p(X)$ be Q 's acceptance probability on input X , and suppose we want to approximate $p(X)$ to within error $\pm\epsilon$ on at least a $1 - \delta$ fraction of $X \in \{0, 1\}^N$. Let $\epsilon := \epsilon/3$. Assume for simplicity that ϵ has the form $1/k$ for some positive integer k ; this will have no effect on the asymptotics. For each $j \in [k]$, let

$$S_j := \left\{ X : p(X) \leq \frac{j-1}{k} \text{ or } p(X) \geq \frac{j}{k} \right\},$$

and define the function $f_j : S_j \rightarrow \{0, 1\}$ by

$$f_j(X) := \begin{cases} 0 & \text{if } p(X) \leq (j-1)/k \\ 1 & \text{if } p(X) \geq j/k. \end{cases}$$

By Proposition 13, we have $Q(f_j) = O(kT)$ for all $j \in [k]$. Also, note that

$$\mathbb{E}_j[|S_j|] \geq \left(1 - \frac{1}{k}\right) 2^n.$$

By Markov's inequality, this implies that there can be at most one $j \in [k]$ (call it j^*) such that $|S_j| < 2^{n-2}$. Likewise, note that for every $X \in \{0, 1\}^N$, there is at most one $j \in [k]$ such that $X \notin S_j$.

Together with Conjecture 33, the above facts imply that, for all $j \neq j^*$ and $\alpha > 0$, there exists a deterministic classical algorithm $A_{j,\alpha}$, making $\text{poly}(T, 1/\alpha)$ queries, that computes $f_j(X)$ on at

least a $1 - \alpha$ fraction of all $X \in S_j$. Suppose we run $A_{j,\alpha}$ for all $j \neq j^*$. Then by the union bound, for at least a $1 - k\alpha$ fraction of $X \in \{0,1\}^N$, there can be at most two $j \in [k]$ such that $A_{j,\alpha}$ fails to compute $f_j(X)$: namely, j^* , and the unique j (call it j') such that $X \notin S_{j'}$. Thus, suppose $A_{j,\alpha}$ succeeds for all $j \notin \{j^*, j'\}$. By Lemma 34, this implies that $p(X)$ has been determined up to an additive error of $\pm 3\epsilon = \pm \epsilon$. Hence, we simply need to set $\alpha := \delta/k$, in order to get a classical algorithm that makes $k \cdot \text{poly}(T, k/\delta) = \text{poly}(T, 1/\epsilon, 1/\delta)$ queries, and that approximates $p(X)$ up to additive error $\pm \epsilon$ for at least a $1 - \delta$ fraction of $X \in \{0,1\}^N$. ■